

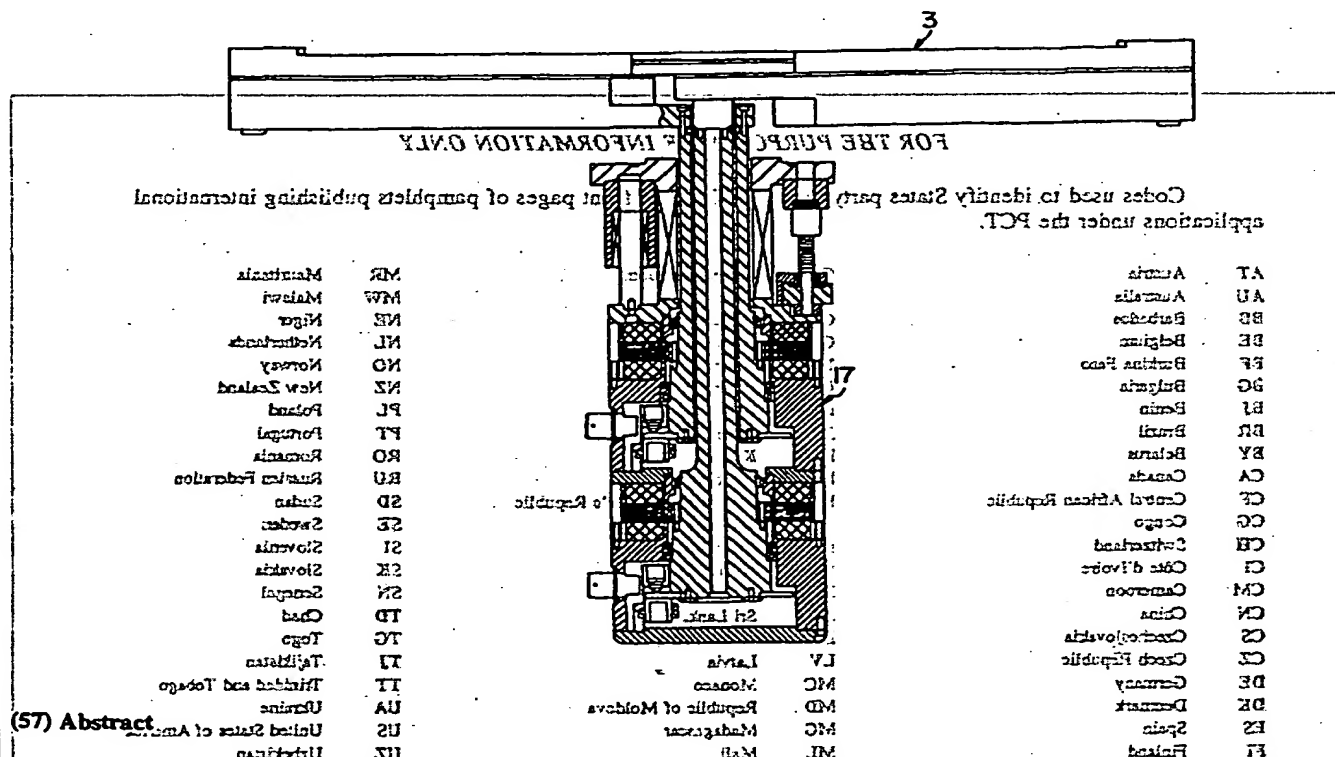
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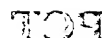
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(54) Title: **ARTICULATED ARM TRANSFER DEVICE**

(57) Abstract

A concentric-shaft rotational drive system for an articulated arm transfer device (3) adaptable for imparting movement to an assembly inside a vacuum chamber (2) wherein rotary movement is imparted to rotors (7, 9) inside the vacuum chamber (2) by means of magnetic fields produced by stators (8, 10) outside the vacuum chamber.



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ARTICULATED ARM TRANSFER DEVICE**BACKGROUND OF THE INVENTION****1. Field of the Invention**

The apparatus of the present invention relates generally to material transfer devices. The material transferred might include, but not be limited to, semiconductor wafers, such as Silicon and Gallium Arsenide, semiconductor packaging substrates, such as High Density Interconnects, semiconductor manufacturing process imaging plates, such as masks or reticles, and large area display panels, such as Active Matrix LCD substrates.

2. Description of the Prior Art

The transfer of delicate silicon wafers or the like between a plurality of work stations or locations in the manufacture of semiconductor devices presents unique handling problems. The silicon wafers are very delicate and have highly polished surfaces. When the wafers are abruptly moved, they tend to slide. This sliding action can cause the silicon wafers to abrade or alternatively can cause damage to their edges if they collide.

There are numerous devices described in the prior art for transferring silicon wafers. For example:

U.S. Patent No. 3,823,836 discloses an apparatus which includes a supply carrier with a plurality of ledges to hold the silicon wafers and a withdrawal device having a vacuum chuck. The vacuum chuck is attached to an elevator which raises and lowers the chuck. A horizontal transfer arm coupled to the vacuum chuck is used to transfer the silicon wafer from the supply carrier to a desired work station.

U.S. Patent No. 3,370,595 discloses a wafer transfer handling apparatus having an indexable carrier for transferring wafers to and from work stations. Wafers enter and leave the wafer carrier on an air slide with the aid of

a wafer ejector acceptor arm having directional air jets. The wafer ejector acceptor arm controls the driving of the wafers into or out of the carrier from or onto the air slide, which moves the wafers to or from a work station.

U.S. Patent Nos. 4,062,463, 3,874,525 and 4,028,159 also disclose wafer transfer devices which include either pneumatic components or gripping devices for handling the wafers.

U.S. Patent Nos. 4,666,366 and 4,909,701 disclose wafer transfer handling apparatus having an articulated arm assembly which extends and retracts in a "froglike" motion to transfer an object such as a wafer between a plurality of locations. Two articulated arms are operatively coupled such that when one arm is driven by a motor the articulated arms extend and retract in a "froglike" or "frogkick" type of motion. A

platform is coupled to the arms and has the object to be transferred disposed thereon.

U.S. Patent No. 4,951,601 discloses wafer transfer handling apparatus having an articulated arm assembly which includes a concentric shaft, rotational drive system.

However, such drive system requires rotary seals which can contaminate the vacuum chamber. In the drive system of U.S. Patent No. 4,951,601 the inner shaft 98 is mounted on a drum 111 which is rotated by a cable 113 mounted on a drive 115 which is rotated by a belt. It appears that the drive 115

rotates in an aperture in the vacuum chamber, thus requiring a rotary seal. The hollow middle shaft 96 is mounted on a

drum 101 which is rotated by a cable 103 mounted on a drive 100 which is rotated by a belt. It is not clear which components are inside the vacuum, but it seems clear that some

rotating member must rotate in an aperture in the vacuum chamber, thus requiring a rotary seal. The device of the

present invention has no such rotary seals. All bearings of the present invention are entirely within the vacuum, and all rotating parts are entirely within the vacuum.

handling apparatus having an indexable carrier for transferring wafers to and from work stations. Wafers enter and leave the wafer carrier on an air slide with the aid of

SUMMARY OF THE INVENTION

The present invention provides a concentric-shaft rotational drive system for an articulated arm transfer device adapted to transfer objects, such as silicon wafers, camera lenses, crystal oscillators, or the like, between a plurality of locations disposed in various axial and radial planes. The drive system permits the entire articulated arm assembly to be rotated in a radial plane. Like the apparatus of the prior art, such rotation is done when the end effector is not in an extended position. The drive system also permits the platform assembly to be displaced in an axial direction. The assembly is adaptable for use in a variety of environments, including operation in a vacuum chamber or other controlled environment. The assembly may be mounted for movement inside a vacuum chamber with a vacuum seal between the assembly and its support.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a central section through a dual shaft device constructed in accordance with the invention and connected so as to drive an articulated arm transfer device of the type disclosed in U.S. Patent No. 5,180,276 to Hendrickson and assigned to the assignee of the instant application. Figure 2 is a detail of a portion of the central section of Figure 1. Figure 3 is a detail of a portion of the central section of Figure 2. Figure 4 is a plan view of the device of Figure 1. Figure 5 is an isometric sketch of a prior art device. Figure 6 is a plan view of the device of Figure 1 but modified to drive an articulated arm transfer device of the type disclosed in co-pending application Serial No. 997,773 filed December 28, 1992 by Eastman and Davis and assigned to the assignee of the present application. Figures 7 and 8 are central sections along the line 7-7 of Figure 6 and the line 8-8 of Figure 6.

Figure 8 is a central section similar to that of Figure 1 and showing another embodiment of the invention in which a single motor and two brakes are employed to drive a rotational device, such as a silicon wafer, camera, or other object, adapted to transfer objects to a desired position.

DETAILED DESCRIPTION OF THE INVENTION

Referring to Figures 1 through 4, a mounting flange 1 is attached to an apertured region of the bottom wall 2 of a vacuum chamber within which an articulated arm transfer device 3 is supported. The mounting flange itself has a central aperture through which two concentric output shafts extend. The outer shaft is designated 4, and the inner shaft is designated 5. At the extremities of the output shafts within the vacuum chamber a pilot bearing 6 separates the shafts and supports them upon each other. The two shafts are independently rotatable. However, in the preferred embodiment of the invention the relative motion of the shafts is limited to one in which they rotate together, and another in which they rotate in opposite directions. The former motion serves to rotate the articulated arm transfer device, and the latter motion serves to extend and retract the articulated arm transfer device. The inner shaft is longer than the outer shaft, and the extremity of the inner shaft outside the vacuum chamber extends beyond the corresponding extremity of the outer shaft and attains a maximum outer diameter corresponding to that of the outer shaft. A rotor 7 is supported on the outer surface of the outer shaft 4, and a corresponding stator 8 is supported outside the rotor 7. Similarly, a rotor 9 is supported on the outer surface of the inner shaft 5, and a corresponding stator 10 is supported outside the rotor 9. Each stator is part of a drive which rotates the corresponding shaft. As appears hereinafter, each rotor is inside the vacuum and each stator is outside the vacuum.

Each rotor-stator pair 7, 8 and 9, 10 may form part of a conventionally brushless DC motor such as the M&K Series manufactured by MFM Technology, Inc., 200 Thirteenth Avenue, Ronkonkoma, New York 11779.

Rotary motion is imparted to each shaft by well-known servomechanism techniques, wherein a suitable signal is applied to the coils of the stators. The varying position of each shaft as it rotates is detected by a suitable sensing mechanism in combination with a suitable coded disk or the like. For example, a coded pattern of opaque portions on a transparent disk may be caused to pass between a light source and a light detector. In lieu of such an optical sensing mechanism, a magnetic sensing mechanism may be employed wherein a coded pattern of magnetized portions on a magnetic disk may be magnetically scanned. Alternatively, the sensing mechanism may be mechanical, such as a combination of a gear and a switch, or it may be acoustical, with rotation of each shaft producing coded clicks of some sort; even electrostatic systems may be designed. For purposes of illustration, and without limiting the scope of the invention thereto, an optical sensing mechanism will now be described.

A disk 11 is affixed to the outer extremity of the outer shaft 4 by a clamp plate 12. This disk has a coded pattern of opaque portions which pass between a light-emitting diode and housing 13 and a read head 14, from which a signal is transmitted to the appropriate external circuit through a signal feedthrough 15. The light-emitting diode housing 13, the read head 14, and the signal feedthrough 15 are supported on a drive housing 16 which is fixed and forms part of a vacuum-tight tubular casing 17. The rotor 7 is affixed to the outer shaft 4, and the stator 18 is affixed to the drive housing 16 by a stator clamp 18, positioned so that the stator 18 can contact with the rotor 7, and two bearings 19, 20 are provided between the outer shaft 4 and the corresponding drive housing 16 upon which that drive is mounted. Similarly, a disk 31 is affixed to the outer extremity of the inner shaft 5 by a clamp plate 32. This disk has a coded pattern of opaque portions which pass between a light-emitting diode housing 33 and a read head 34, from which a signal is transmitted to the appropriate external circuit through a

signal feedthrough 35. The rotor 9 is affixed to the inner shaft 5, and the stator 10 is affixed to the drive housing 36 by a stator clamp 37, positioned so that the stator 10 can contact with the rotor 9, and two bearings 38, 39 are provided between the inner shaft 5 and the corresponding drive housing 36 upon which that drive is mounted. The drive housings 16, 36 are of special configuration, and provide an important part of the vacuum-tight casing 17 which separates the evacuated regions of the device from the atmospheric-air regions of the device. The two drive housings are similar in shape and are connected to each other by an adapter 40. Alternatively, the sensing mechanism may be imparted to the shafts by vertical movement of the drive housings which in turn are supported on two linear slides 41 and two lead screws 42. Alternates to the lead screws with rotary motors may be linear motors (servo or stepper) or a voice coil or solenoid. The vertically movable drive housings are separated from the mounting flange by suitable bellows 43, and the outer extremity of the outermost drive housing is closed off by an end cap 44. Thus the entire region within the bellows, drive housings and end cap may be evacuated, and frictional motion inside this evacuated region is limited to that of the various bearings. Each drive housing has a portion which passes between its respective rotor and stator, and sufficient clearance must be provided between the rotor and this part of the drive housing. A prior art device is shown in Figure 5. The rotary motion is imparted to the articulated arm transfer device by rotating the rotate plug 9. Extension and retraction of the frog legs is achieved by counter-rotation of the extend/retract drive shafts. The structure of the present invention reduces the number and type of seals required by the use of concentric shafts constructed in a particular way. The prior art device shows that control may be effected by (1) simple rotation of a shaft and (2) counter-rotation of two shafts. In the device of the invention, (1) is provided when the concentric shafts rotate together and (2) is provided when the concentric shafts

and counter-rotate. In this device rotary motion is not limited to by the basic mechanism, but may continue in either direction for any desired angle of travel. The three motions (vertical, rotary and extend/retract) may be simultaneously activated to provide any desired trajectory of the end effector. On the other hand, in some applications all three motions may not necessarily be activated, and the scope of the invention includes devices in which only one or two of the aforementioned three motions are activated.

Referring now to Figure 4 in conjunction with Figures 1-3, the outer shaft 4 is connected to one upper arm 51 and the inner shaft 5 is connected to the other upper arm 52 of an articulated arm transfer device such as that shown in the aforementioned U.S. patent No. 5,180,276. For rotation (i.e. rotation of the end effectors 53) both rotors 7, 9 turn in synchronism in one direction. For extension and retraction of the end effectors 53 each rotor 7, 9

mirrors the other with equal but opposite rotation. These motions are computer controlled, using inputs from the two encoders mounted on the outer rotor assembly. When rotors 7, 9 turn in synchronism in one direction, shafts 4, 5 also turn in that direction. Referring to Figure 4, if the shafts 4, 5 turn clockwise, the upper arms 51, 52 also turn clockwise along with the rest of the apparatus

shown in Figure 4. Conversely, if the shafts 4, 5 turn counterclockwise, the entire apparatus shown in Figure 4 turns counterclockwise. If, on the other hand, the outer shaft 4 turns clockwise while the inner shaft 5 turns counterclockwise, the upper arm 51 will turn clockwise and the

upper arm 52 will turn counterclockwise. The resulting movement of both end effectors 53 is downward in Figure 4. Conversely, if the outer shaft 4 turns counterclockwise while the inner shaft 5 turns clockwise, the upper arm 51 will turn counterclockwise and the upper arm 52 will turn clockwise. The resulting movement of both end effectors 53 is upward in Figure 4.

Referring now to Figures 6 and 7, the outer shaft 4 has
 affixed thereto a block 54 in which the upper slave arm 55 of
 an articulated arm transfer device such as that shown in the
 (as aforementioned co-pending application, Serial No. 997,773 is
 rotatably supported. The upper drive arm 56 of such an
 articulated arm transfer device is affixed to the inner shaft
 5 as to rotate therewith. In this case the two shafts
 rotate in synchronism for θ motion, but the outer shaft 4 is
 held fixed and only the inner shaft 5 is rotated for radial
 10 motion.

If an articulated arm transfer device of the type shown
 in the aforementioned U.S. Patents Nos. 4,666,366 and
 4,909,701 (such as shown in Figure 5) is made with two
 concentric shafts, an outer shaft to rotate the arms in θ
 motion and an inner shaft to generate extend/retract motion,
 it is possible to rotate the appropriate shaft combinations
 with one motor (and encoder) by using two brakes, one of which
 will lock the inner shaft to the outer shaft and the other
 the outer shaft to the casing.

If the outer shaft is locked to the casing and the
 shoulder assembly is mounted on the outer (rotate) shaft, no

rotation of the shoulder will be possible. Rotation of the
 inner shaft by a motor attached to it will generate extend-
 retract motion as is now done by the extend/retract motor of

an articulated arm transfer device of the type shown in Figure
 4. Conversely, if the shaft 4 turns
 and the inner shaft is locked to the outer
 shaft, no extend/retract motion is possible. If, therefore,
 the outer shaft/casing brake is released, rotation of the
 inner shaft by the motor will result in simultaneously
 rotating the outer shaft and therefore in θ motion.

If both brakes are locked at the end of each motion, and
 then the appropriate brake is released, one encoder, when its
 signal is combined in a computer with the brake command, can
 indicate the motion of either parameter. If greater precision
 is required, two encoders, as in Figure 1 (11, 13) may be
 used.

Figure 4

By proper design and use of magnetic and non-magnetic materials, it is possible to mount all moving parts, including brake shoes and motor rotors, inside of a sealed cylindrical casing while placing the magnet coils of all components in an atmosphere outside the casing. This will eliminate the known outgassing problems and electrical feedthroughs which degrade the performance of systems having active electromagnets in vacuum. While this mechanism will work with any of the extending and retracting arm assemblies typical of robots manufactured by the assignee of the instant application, a particular advantage is achieved when using the aforementioned arm shown in Figures 6 and 7 and used in articulated arm transfer devices of the type disclosed in the aforementioned co-pending application Serial No. 997,773, in that only one driving shaft is required at the shoulder, eliminating the need of gearing on top of the rotating shafts. Referring now to Figure 8, a mounting flange 61 is attached to an apertured region of the bottom wall of a vacuum chamber within which an articulated arm transfer device 62 is supported. The articulated arm transfer device 62 is shown as being of the type shown in Figures 4 and 5. The mounting flange itself has a central aperture through which two concentric output shafts extend. The outer shaft is designated 63, and the inner shaft is designated 64. At the extremities of the output shafts within the vacuum chamber a pilot bearing 65 separates the shafts and supports them upon each other. The two shafts are independently rotatable. However, in the device of Figure 8 only one shaft is rotatably driven by a motor, and rotation of the other shaft is determined by two brakes, one of which causes the shafts to rotate together, and another of which causes the other shaft to remain fixed. The former motion serves to rotate the articulated arm transfer device, and the latter motion serves to extend and retract the articulated arm transfer device. The inner shaft is longer than the outer shaft, and the extremity of the inner shaft, outside the vacuum chamber extends beyond the corresponding extremity of the outer shaft.

5 A brake 66 comprising a disk 67 of magnetic material is
 10 supported on the outer surface of the outer shaft 63, and
 15 cooperates with a disk 68 of magnetic material which is
 20 slidably supported inside a casing 69 of non-magnetic, vacuum-
 25 tight material. A magnetic coil 70, when energized,
 30 magnetizes the disks 67 and 68 so that they press against each
 35 other and act as a brake, preventing rotation of the outer
 40 shaft 63. Similarly, a brake 71 comprising a disk 72 of
 45 magnetic material is supported on the outer surface of the
 50 outer shaft 63, and cooperates with a disk 73 of magnetic
 55 material which is slidably supported on the inner shaft 64.
 60 A magnetic coil 74, when energized, magnetizes the disks 72,
 65 and 73 so that they press against each other and act as a brake
 70 or coupling locking the shafts to each other. A motor 75,
 75 constructed similarly to the construction of rotor 9 and
 80 stator 10 of Fig. 1, serves to rotate the inner shaft 64.
 85 More specifically, a rotor 76 is supported on the outer
 90 surface of the inner shaft 64, and a corresponding stator 77
 95 is supported outside the rotor 76. The stator 77 is part
 100 of a drive which rotates the inner shaft 64. The rotor 76 is
 105 inside the vacuum and the stator 77 is outside the vacuum.
 110 The rotor-stator pair 76, 77 may form part of a
 115 conventional brushless DC motor such as the M & K Series
 120 manufactured by MFM Technology, Inc., 200 Thirteenth Avenue,
 125 Ronkonkoma, New York 11779. The output shaft of the motor
 130 is connected to the inner shaft 64. Rotary motion is imparted to the inner shaft 64 by well-
 135 known servomechanism techniques, wherein a suitable signal is
 140 applied to the coils of the stator 77. However, in the device of the present invention, a disk 78 is affixed to the outer extremity of the inner
 145 shaft 64. This disk has a coded pattern of opaque portions
 150 which pass through a suitable encoder 79 (which may comprise,
 155 for example, a light-emitting diode housing and a read head,
 160 from which a signal is transmitted to the appropriate external
 165 circuit through a signal feedthrough). The shafts 63 and 64 are
 170 supported upon suitable bearings 65, 80 between the shafts 63, 64
 175 and suitable bearings 81, 82 between the outer shaft 63 and
 180 the casing 69. The shaft 64 extends beyond the corresponding extremity of the casing 69.

I claim:

Sl 11

The casing 69 is a cylindrical member and provides an important part of the wall which separates the evacuated regions of the device from the regions of the atmosphere. Apparatus comprising:

a vacuum enclosure having an aperture and a tubular member mounted over said aperture and including a first drive housing and a second drive housing;

an outer shaft mounted on and inside said first drive housing by bearings; and an inner shaft within said outer shaft and concentric therewith and mounted on and inside said second drive housing by bearings;

10 a first rotor mounted on said outer shaft; and a second rotor mounted on said inner shaft; a first stator mounted on and outside said first drive housing; and a second stator mounted on and outside said second drive housing;

15 a pilot bearing supporting said outer shaft upon said inner shaft; and means for causing each of said stators to impress a suitable electromagnetic field upon its respective rotor so as to impart rotary motion thereto.

20 means for causing each of said stators to impress a suitable electromagnetic field upon its respective rotor so as to impart rotary motion thereto.

2. An apparatus for transferring objects, comprising:
25 a support;

a first upper arm supported on said support so as to be rotatable about a first axis;

a second upper arm supported on said support so as to be rotatable about a second axis;

30 means for causing said second upper arm to be driven by rotation of said first upper arm;

a first pair of forearms articulated to said first and second upper arms;

35 a second pair of forearms articulated to said first and second upper arms;

each of said upper arms being of lesser length than each forearm;

first holding means pivotally coupled to said first pair of forearms and second holding means pivotally coupled to said second pair of forearms; an engagement between said first pair of forearms and adapted to prevent rotations of said first holding means; and an engagement between said second pair of forearms and adapted to prevent rotations of said second holding means; and comprising a pair of forearms and a driving means capable of driving said first upper arm for rotation through an angle in the range of from greater than 10 to 120° up to and including 180° to move said first holding means between a first extended position and a first retracted position while said link and means for causing said link to move simultaneously moving said second holding means between a second retracted position and a second extended position; said driving means including the following components: a vacuum enclosure having an aperture and a capped tubular member mounted over said aperture and including a first drive housing and a second drive housing; said outer shaft mounted on and inside said first drive housing by bearings; an inner shaft within said outer shaft and concentric therewith and mounted on and inside said second drive housing by bearings; a first rotor mounted on said outer shaft; a second rotor mounted on said inner shaft; a first stator mounted on and outside said first drive housing; a second stator mounted on and outside said second drive housing; a vacuum enclosure having an aperture and including a pilot bearing supporting said outer shaft upon said inner shaft and a second drive housing and a first drive housing; means for causing each of said stators to impress a suitable electromagnetic field upon its respective rotor so as to impart rotary motion thereto; an inner shaft with a first rotor mounted on and inside said second drive housing and a second rotor mounted on said outer shaft; and bearings.

An apparatus for transferring objects, comprising:
 a support; a first upper arm supported on said support so as to be
 rotatable about a first axis;
 a second upper arm supported on said support so as to be
 rotatable about a second axis;
 a pair of forearms, comprising a first forearm and a
 second forearm, said pair of forearms being articulated to
 said first and second upper arms by means of link means;
 said link means comprising a link, a lower shaft rotatably
 supported on said link, and means for causing rotation of one
 shaft in one sense to cause rotation of the other shaft in the
 opposite sense;
 said first upper arm being fixed to said upper shaft;
 said second upper arm being rotatably mounted on said
 lower shaft;
 said first forearm being fixed to said lower shaft;
 said second forearm being rotatably mounted on said upper
 shaft;
 holding means pivotally coupled to said pair of forearms;
 and
 driving means capable of driving at least one of said
 upper arms for rotation through an angle in the range of from
 greater than 120° up to and including 180° to move said
 holding means between an extended position and a retracted
 position; said driving means including the following
 components:
 a vacuum enclosure having an aperture and a capped
 tubular member mounted over said aperture and including a
 first drive housing and a second drive housing;
 an outer shaft mounted on and inside said first drive
 housing by bearings;
 an inner shaft within said outer shaft and concentric
 therewith and mounted on and inside said second drive housing
 by bearings;
 a first rotor mounted on said outer shaft;

a second rotor mounted on said inner shaft; a
 a first stator mounted on and outside said first drive
 wherein said second shaft has a fourth housing
 a second stator mounted on and outside said second drive
 and wherein said casing has a fifth disk of magnetic
 a pilot bearing supporting said outer shaft upon said
 disk, said first coil being adapted to be
 means for causing each of said stators to impress a
 suitable electromagnetic field upon its respective rotor so
 as to impart rotary motion thereto; said first shaft
 mounted outside said casing and adapted to generate
 Apparatus for imparting rotary motion to a device
 within a vacuum chamber comprising in combination: a
 a vacuum-tight tubular casing of non-magnetic material;
 15 said casing having a longitudinal axis,
 a first shaft rotatably mounted inside said casing along
 said axis, said first shaft having a first disk of magnetic
 material mounted thereon; a second shaft rotatably mounted inside said casing about
 20 said first shaft, said second shaft being tubular and having
 a second disk of magnetic material mounted thereon outside
 said second shaft, a first coil mounted outside said casing and adapted to
 generate a magnetic field in said first disk, and
 25 a second coil mounted outside said casing and adapted to
 generate a magnetic field in said second disk,
 at least said first coil being adapted to generate a
 first rotating field pattern for rotating said first shaft,
 and said first disk being magnetized in a pattern for being
 30 rotated by said first rotating field pattern, within said
 first shaft, said disk having a coded pattern of
 Apparatus in accordance with claim 4, wherein said
 second coil is adapted to generate a second rotating field
 pattern for rotating said second shaft, and wherein said
 35 second disk is magnetized in a pattern for being rotated by
 said second rotating field pattern.

6. Apparatus in accordance with claim 4, wherein said first shaft has a third disk of magnetic material mounted thereon, wherein said second shaft has a fourth disk of magnetic material mounted thereon adjacent to said third disk,

5 and wherein said casing has a fifth disk of magnetic material mounted thereon inside said casing adjacent to said second disk, said first coil being adapted to generate a field a pattern of compressing said second disk and said fifth disk together for braking action coupling said second shaft to said 10 first shaft, said apparatus also including a third coil mounted outside said casing and adapted to generate a magnetic field in said third disk and fourth disk for pressing said third disk and said fourth disk together for braking action coupling said second shaft to said casing.

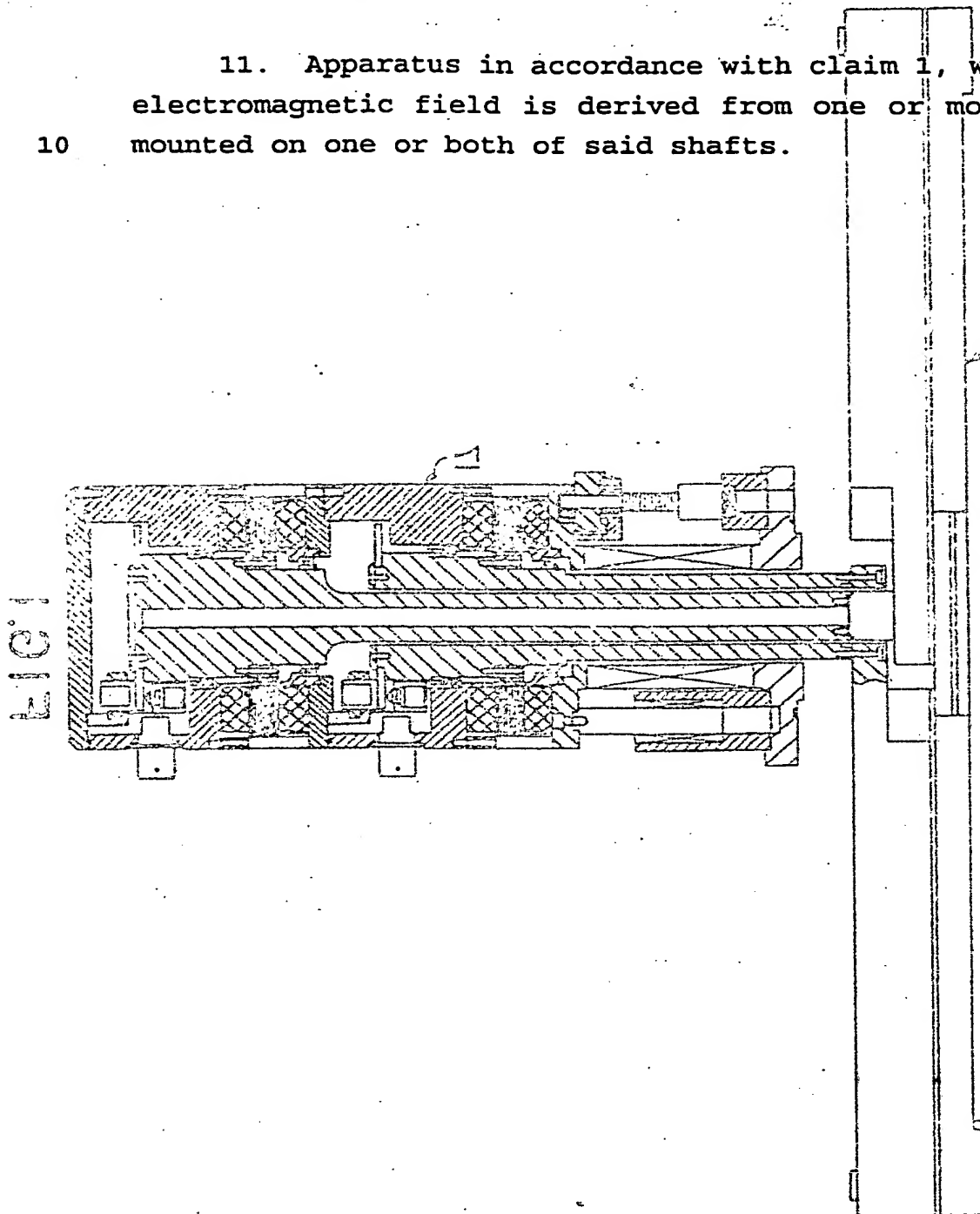
15 Apparatus in accordance with claim 4, wherein a sensing mechanism is supported on and within said casing, and wherein a disk is affixed to said first shaft, said disk having a coded pattern adapted to activate said sensing 20 mechanism.

8. Apparatus in accordance with claim 7, wherein a second sensing mechanism is supported on and within said casing, and wherein a second disk is affixed to said second 25 shaft, said disk having a coded pattern adapted to activate said second sensing mechanism.

9. Apparatus in accordance with claim 7, wherein a light-emitting diode and a read head are supported on and 30 within said casing, and wherein a disk is affixed to said first shaft, said disk having a coded pattern of opaque portions adapted to pass between said light-emitting diode and said read head, a second coil is adapted to generate a field for rotating said second shaft, and wherein said 35 second disk is magnetized in a pattern for being rotated by said second rotating field pattern.

10. Apparatus in accordance with claim 8, wherein a second light-emitting diode and a second read head are supported on and within said casing, and wherein a second disk is affixed to said second shaft, said disk having a coded pattern of opaque portions adapted to pass between said second light-emitting diode and said second read head.

11. Apparatus in accordance with claim 1, wherein said electromagnetic field is derived from one or more encoders mounted on one or both of said shafts.



20. Apparatus in accordance with claim 8, wherein a second light-emitting diode and a second read head are supported on and within said casing, and wherein a second disk is attached to said second shaft, said disk having a coded pattern of opaque portions adapted to pass between said second light-emitting diode and said second read head.

21. Apparatus in accordance with claim 10, wherein said electromagnetic field is derived from one or more encoders mounted on one or both of said shafts.

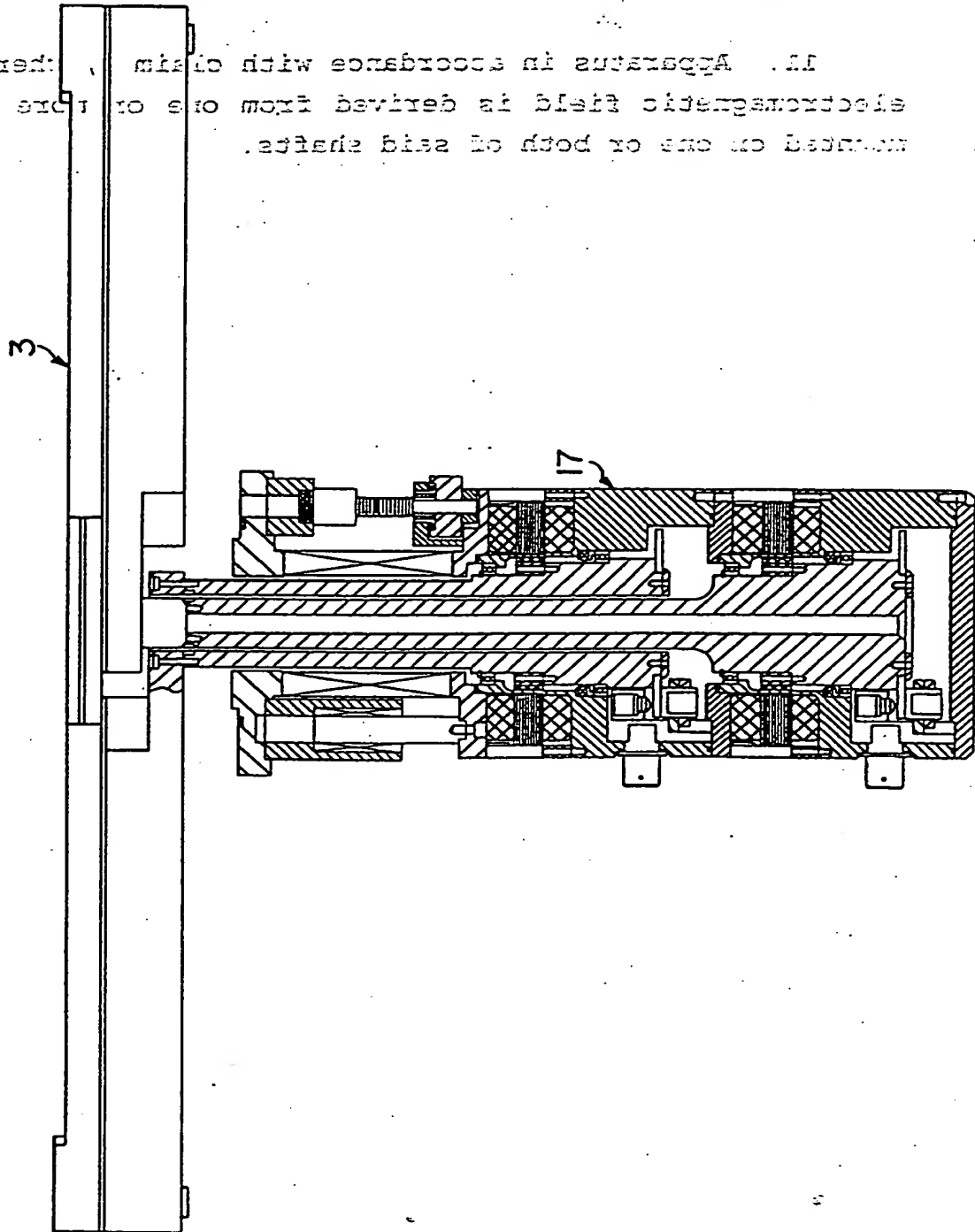


FIG. 1

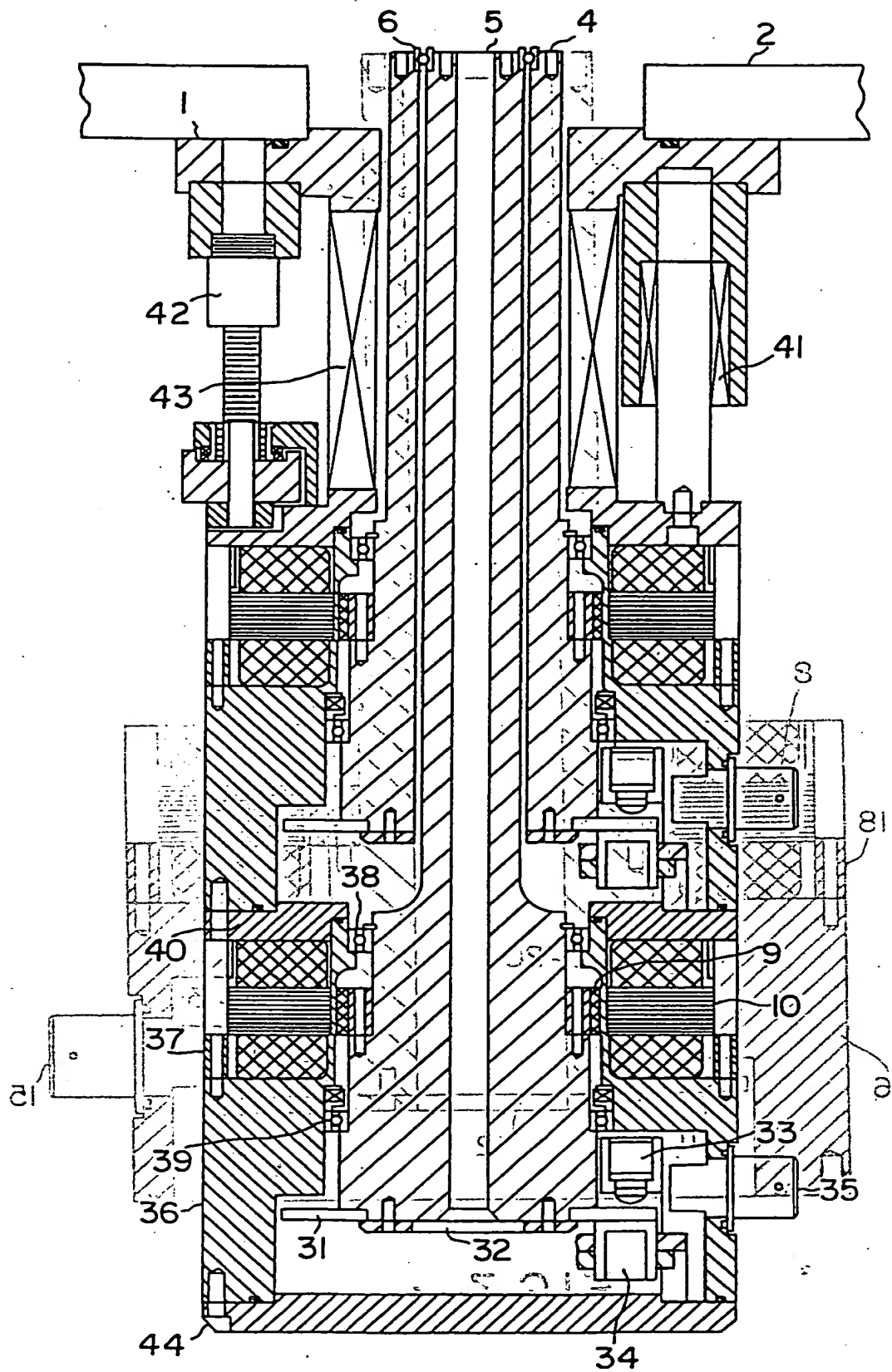
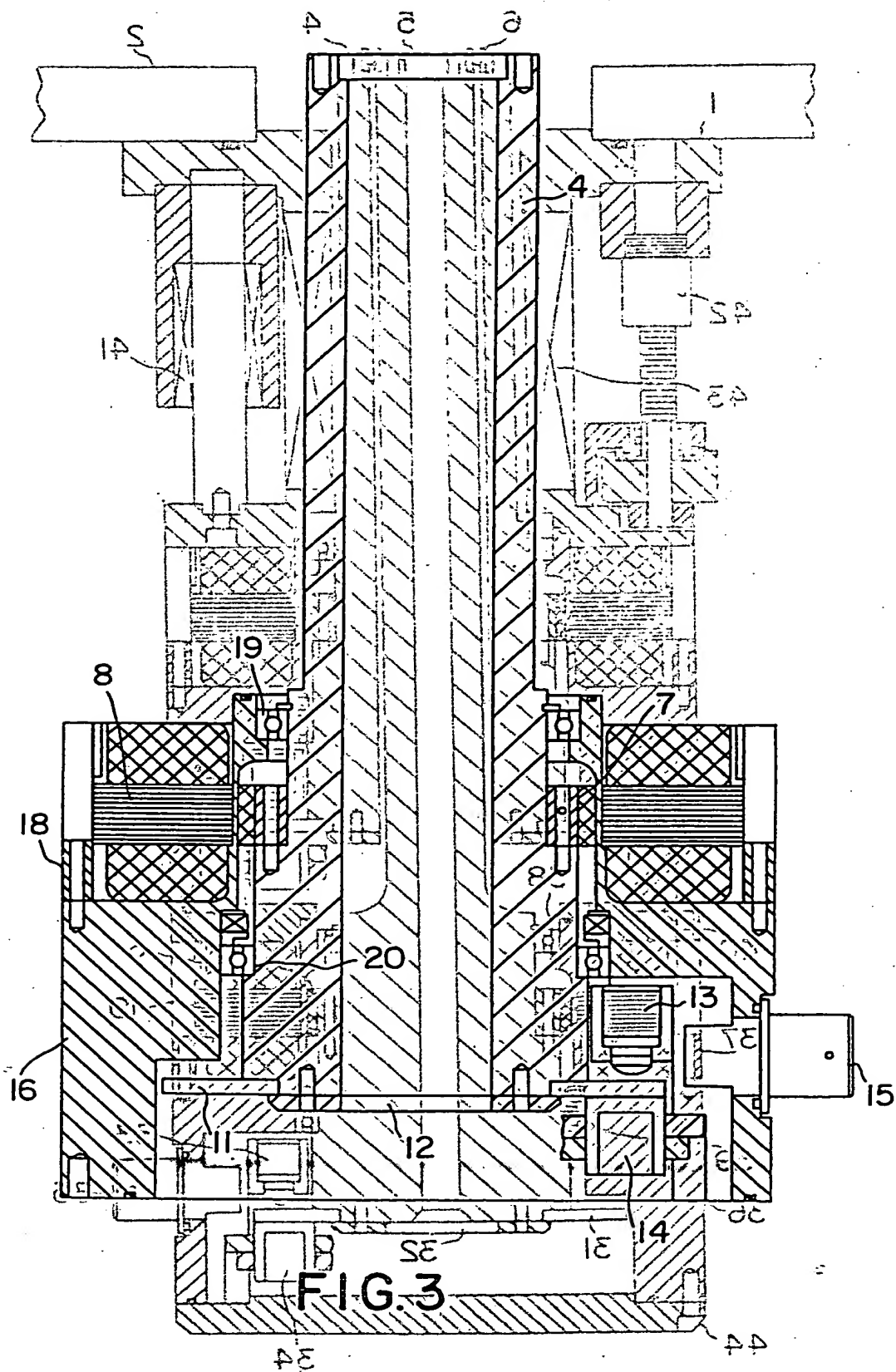


FIG. 2



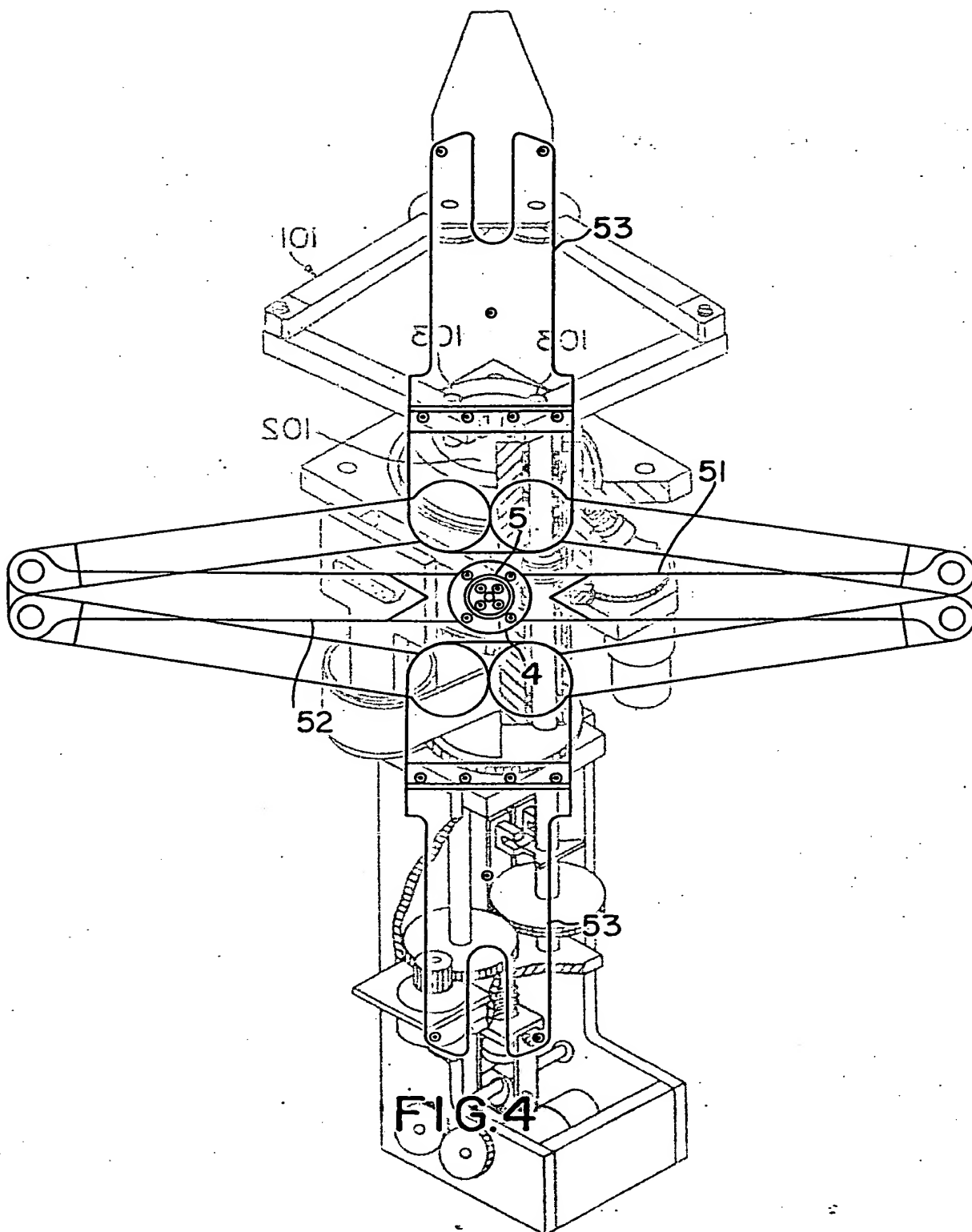


FIG. 4
PRIOR ART

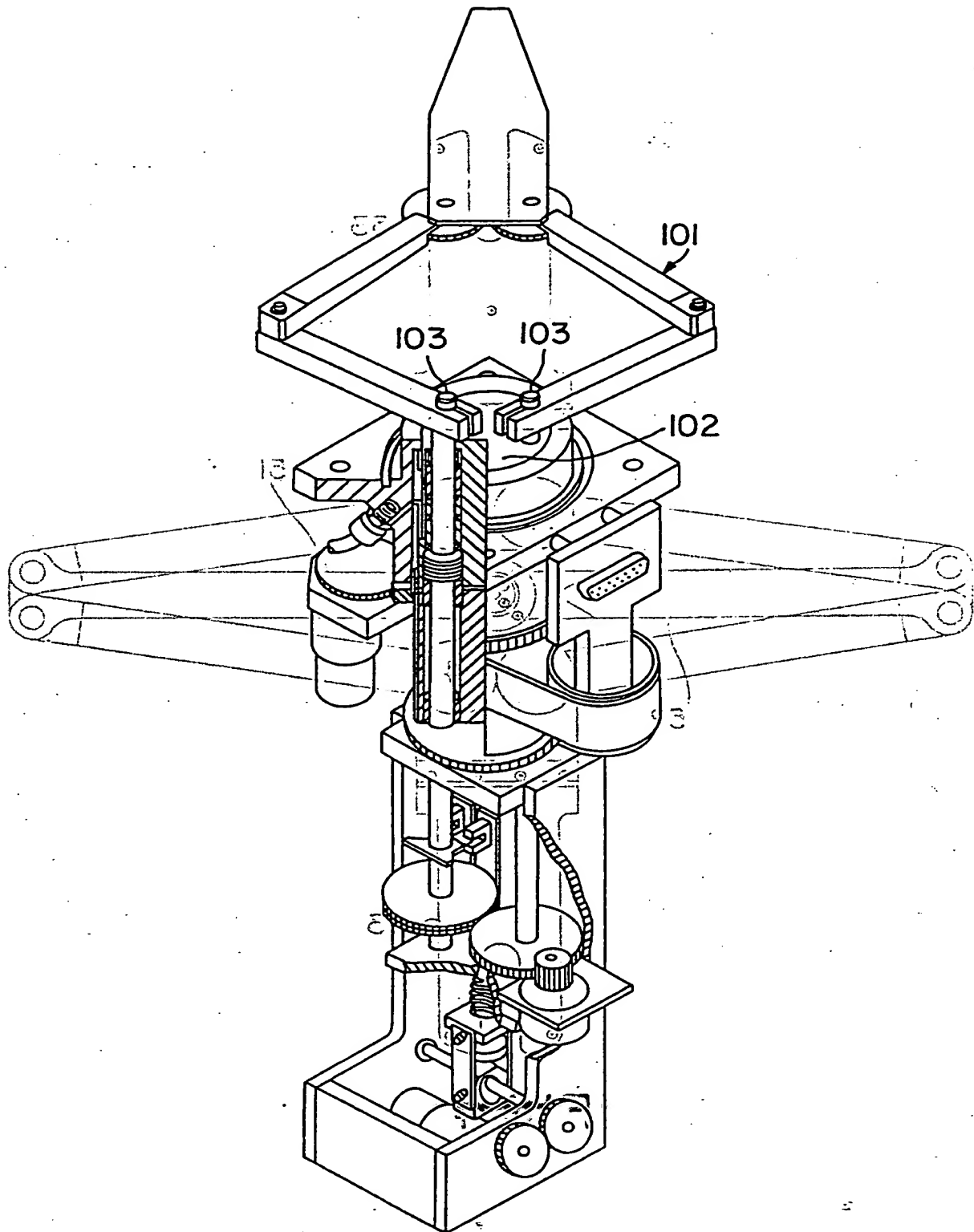
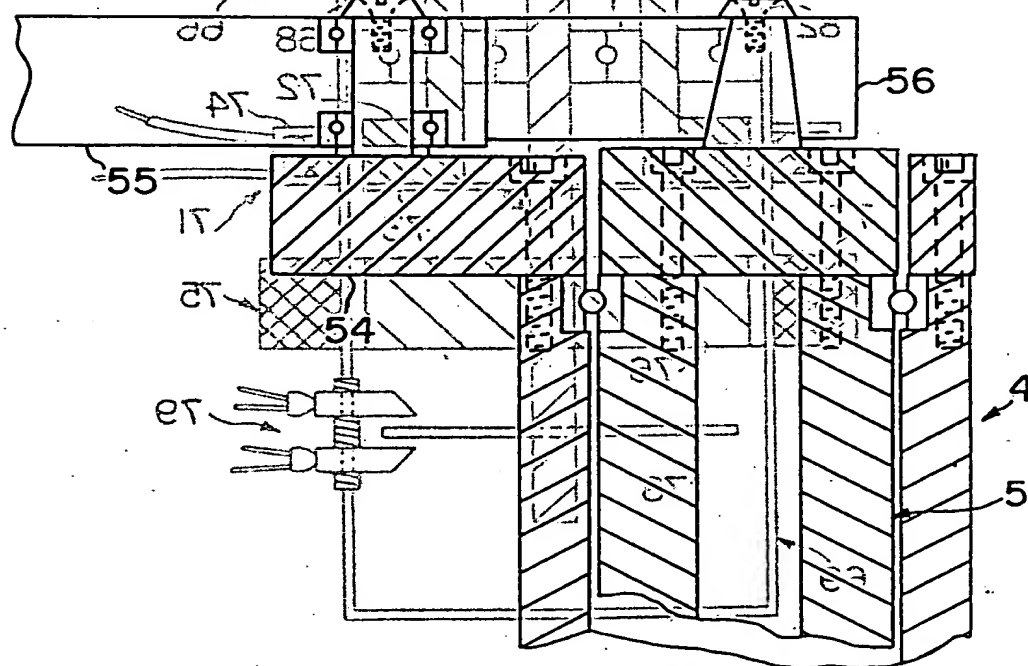
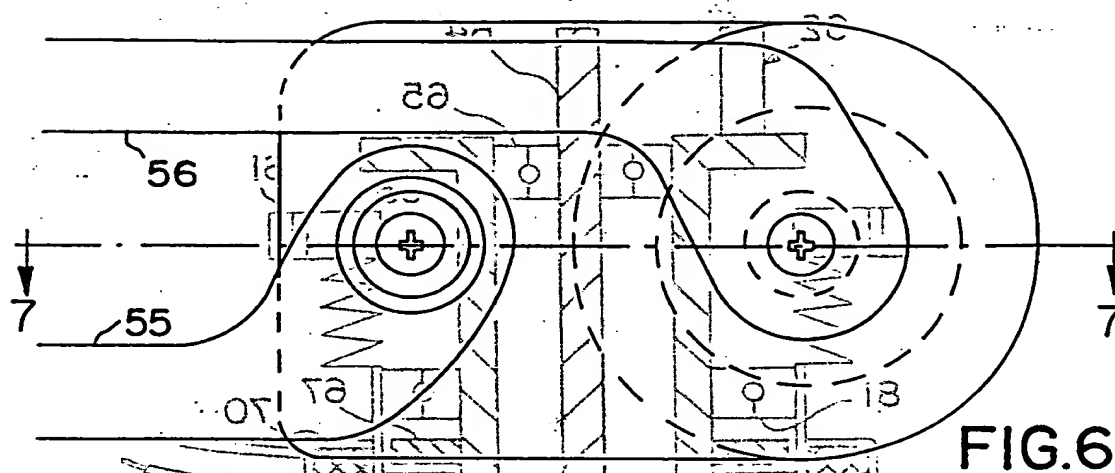


FIG. 5
PRIOR ART



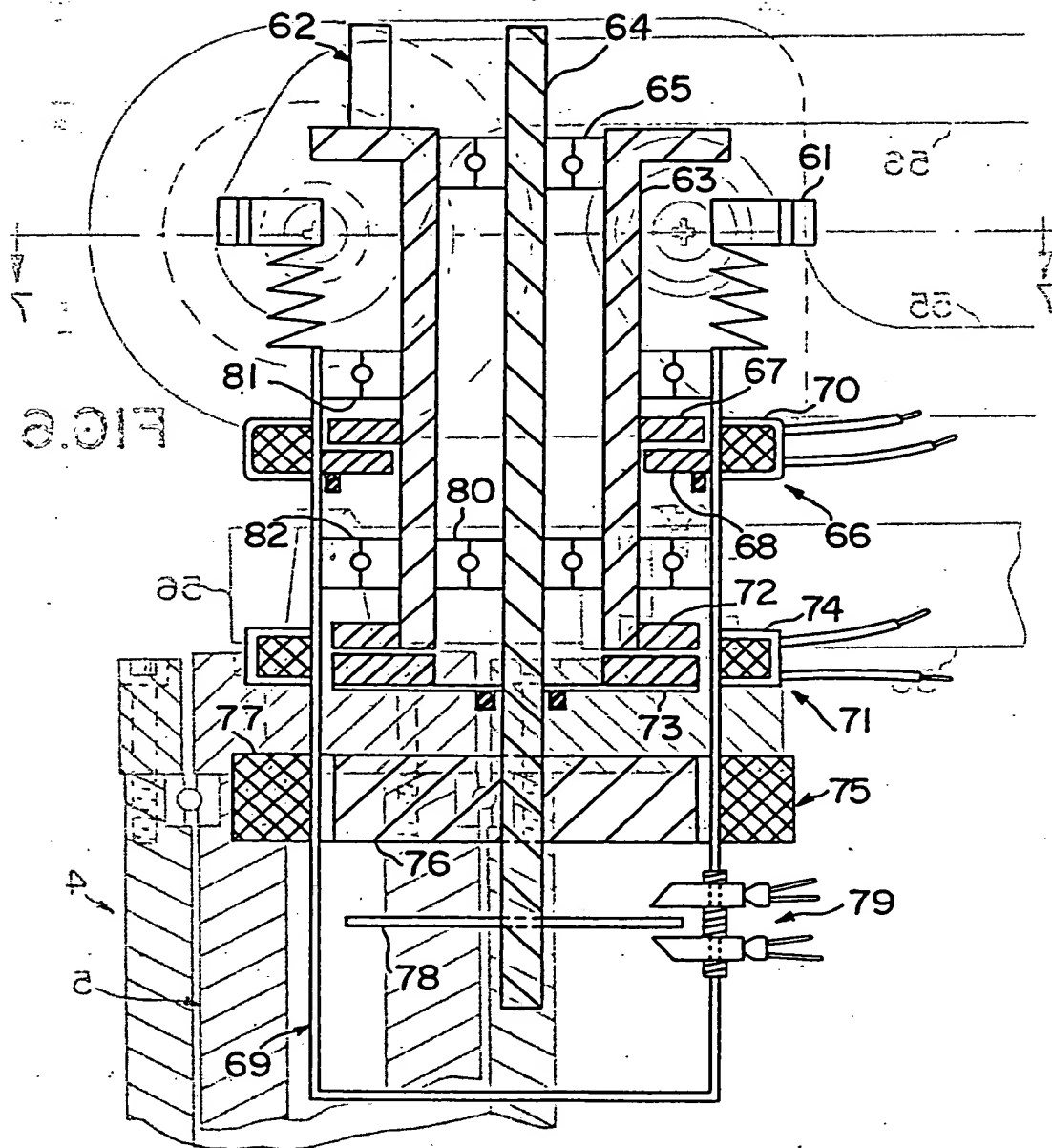


FIG. 8

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US94/04040

A. CLASSIFICATION OF SUBJECT MATTER

IPC(5) : B25J 21/00; H02K 16/00

US CL : 414/744.5; 901/23; 310/114; 192/18B
According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 414/744.5, 744.2; 901/15,23,24; 310/67R, 75D, 88, 101, 103, 112,
114; 192/18B, 12D; 74/479 BP

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

None

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

None

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US,A, 5,270,600 (Hashimoto) 14 December 1993, Figure 7	1,4,5,11
Y	US,A, 5,180,276 (Hendrickson) 19 January 1993; Figure 1B	2,3,7-10
Y	US,A, 4,712,971 (Fyler) 15 December 1987	2,3
Y	JP,A, 2-292153 (Fuji Electric Co. Ltd.) 03 December 1990 Figures 12b (claim 2) and 9 (claim 3).	2,3
Y	US,A, 3,768,714 (Applequist) 30 October 1973 elements 156,160,166.	7-10

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

*A	document defining the general state of the art which is not considered to be of particular relevance	T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*E	earlier document published on or after the international filing date	X	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*L	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	Y	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*P	document published prior to the international filing date but later than the priority date claimed	*G	document member of the same patent family

Date of the actual completion of the international search

21 JUNE 1994

Date of mailing of the international search report

05 JUL 1994

Name and mailing address of the ISA/US Commissioner of Patents and Trademarks

Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

Underwood

Telephone No. (703) 308-1112

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.: _____ because they relate to subject matter not required to be searched by this Authority, namely: _____

2. ☒ Claims Nos.: 6
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

Please See Extra Sheet.

3. ☐ **Claims Nos.:** because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

US, A. 5,130,376 (Hochberg) 18 January 1993; 2.3

US, A. 4, 15, 871. (Elyse) 15 December 1987

Figures 12b (claim 2) and 9 (claim 3).
J.P.A., 2-292123 (Full Electric Co. Ltd.) 03 December 1990 2,3

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.

2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.

3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:

4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Date of mailing of the international search report

Date of the next completion of the international search

Remark on Protest

The additional search fees were accompanied by the applicant's protest.

No protest accompanied the payment of additional search fees. b6; b7C; b7D

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US94/04040

BOX I. OBSERVATIONS WHERE CLAIMS WERE FOUND UNSEARCHABLE

2. Where no meaningful search could be carried out, specifically:

Claim 6 appears to be directed to the structure in figure 8 but depends from claim 4 which is directed to the structure in figure 1. Since the two figures are directed to different drive structures it is unclear what structure is being claimed. It appear maybe first coil in line 7 of claim 6 should be second coil.

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